

GTC Risk Solutions (Pty) Ltd

Registration number 2014/243561/07

This manual has been prepared in terms of Section 51 of the Promotion of Access to Information Act 2/2000 and to address the requirements of the Protection of Personal Information Act /2014.

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An Authorised Financial
Services Provider
FSP.no. 47122

GTC is nationally represented in Johannesburg, Cape Town and Durban.

The GTC Privacy Policy can be viewed on the GTC website at <https://gtc.click/Group-Privacy Policy>

GTC Group of products and services include: Employee Benefits Consulting • Employee Benefits Administration • Private Client Wealth Management • Healthcare Consulting • Short-term Risk Solutions • Stockbroking • Derivatives Trading • Unit Trust Management • Asset Management • Fiduciary Services

Contents

1.	Definitions.....	3
2.	Introduction	4
3.	Contact Details	4
4.	Guider of SAHRC	5
5.	Latest Notices in terms of section 52(2) of PAIA.....	5
6.	Availability and publication of certain records in terms of PAIA.....	6
7.	Request Process	8
8.	Grounds for refusal.....	12
9.	Remedies should a request be refused.....	13
10.	Fees	14
11.	POPI.....	15

1. Definitions

- 1.1 **“Client”** refers to any natural or juristic person that received or receives services from the Company;
- 1.2 **“the Company”** shall mean GTC Risk Solutions (Pty) Ltd;
- 1.3 **“Conditions for Lawful Processing”** - the conditions for the lawful processing of Personal Information as fully set out in chapter 3 of POPI and in paragraph 11 of this Manual;
- 1.4 **“Data Subject”** - as ascribed thereto in section 1 of POPI;
- 1.5 **“Information Officer”** - the duly authorised Head as defined in section 1 of PAIA;
- 1.6 **“Manual”** - this manual prepared in accordance with section 51 of PAIA and regulation 4(1) (d) of the POPI Regulations;
- 1.7 **“PAIA”** - the Promotion of Access to Information Act 2 of 2000;
- 1.8 **“Personal Information”** - as ascribed thereto in section 1 of POPI;
- 1.9 **“Personnel”** - any person who works for, or provides services to or on behalf of the Company, and receives or is entitled to receive remuneration and any other person who assists in carrying out or conducting the business of the Company, which includes, without limitation, directors (executive and non-executive), all permanent, temporary and part-time staff as well as contract workers;
- 1.10 **“POPI”** - the Protection of Personal Information Act 4 of 2013;
- 1.11 **“POPI Regulations”**- the regulations promulgated in terms of section 112(2) of POPI;
- 1.12 **“Private Body”** - as ascribed thereto in sections 1 of both PAIA and POPI;
- 1.13 **“Processing”** - as ascribed thereto in section 1 of POPI;
- 1.14 **“Requestor”** – as ascribed thereto in section 1 of PAIA;
- 1.15 **“Request for Access”** - as ascribed thereto in section 1 of PAIA;
- 1.16 **“SAHRC”** - the South African Human Rights Commission;
- 1.17 Any other terms not described herein will have the meaning as ascribed to it in terms of PAIA or POPI.

2. Introduction

- 2.1 For the purpose of POPI and PAIA, the Company is defined as a private body. In accordance with the Company's obligations in terms of POPI and PAIA, the Company has produced this manual.
- 2.2 This manual sets out all information required by both PAIA and POPI.
- 2.3 This manual also deals with how requests are to be made in terms of PAIA.
- 2.4 This manual also establishes how compliance with POPI is to be achieved.

3. Contact details

Business name	GTC Risk Solutions (Pty) Ltd
Registration number	2014/243561/07
Registered office	The Wanderers Office Park 52 Corlett Drive Illovo Gauteng 2196
Postal address	P O Box 55118 Illovo Gauteng 2116
Contact number	(010) 597 6800
Director/s	Gary Mockler and Roy Wright
Information officer	Gary Mockler P O Box 55118 Illovo Gauteng 2116 (010) 597 6831 gmockler@gtc.co.za

Deputy Information Officer

Farhadh Dildar

P O Box 55118

Illovo

Gauteng

2116

(011) 597 6830

fdildar@gtc.co.za

Background information of the Company can be found at www.gtc.co.za.

4. Guider of SAHRC

- 4.1 A guide to PAIA has been published pursuant to section 10 of PAIA.
- 4.2 The guide contains information required by an individual who may wish to exercise their rights in terms of PAIA.
- 4.3 Should you wish to access the guide you may contact the SAHRC at the following details:
PAIA UNIT
Postal Address: Private Bag 2700, Houghton, 2041.
Telephone: 011 484-8300
Website: <http://www.sahrc.org.za>
Email: PAIA@sahrc.org.za

5. Latest Notices in terms of section 52(2) of PAIA

- 5.1 At this stage no Notice(s) has / have been published.

6. Availability and publication of certain records in terms of PAIA

6.1 The Company hold and/or process the following records for the purposes of PAIA and POPI.

Employment Contracts	On request in terms of PAIA
Personal records provided by personal and third parties	On request in terms of PAIA
Internal records, including internal evaluation of personal	On request in terms of PAIA
Correspondence relation to personal	On request in terms of PAIA
Training of personal	On request in terms of PAIA
Records relating to The Company's clients	On request in terms of PAIA
Records generated by The Company for its clients	On request in terms of PAIA
Operational records	On request in terms of PAIA
Database	On request in terms of PAIA
Information technology	On request in terms of PAIA
Marketing records	On request in terms of PAIA
Internal Correspondence	On request in terms of PAIA
Internal Policies	On request in terms of PAIA
Financial records	On request in terms of PAIA
Trade Secrets	On request in terms of PAIA
Domain Name Registrations	On request in terms of PAIA
Tradename Registrations	On request in terms of PAIA
Trademark Registrations	On request in terms of PAIA
Company Documentation	On request in terms of PAIA
Agreements With Suppliers	On request in terms of PAIA
Supplier Agreements	On request in terms of PAIA
Customer Agreements	On request in terms of PAIA
Website Information	On request in terms of PAIA

6.2 Information is available in terms of the following legislation, if and where applicable):

6.2.1 Basic Conditions of Employment Act, No. 75 of 1997

6.2.2 Collective Investment Schemes Control Act, No. 45 of 2002

6.2.3 Financial Advisory and Intermediaries Services Act, No. 37 of 2002 and related Board Notices

6.2.4 Financial Institutions (Protection of Funds) Act, No. 28 of 2001

6.2.5 Financial Intelligence Centre Act, No. 38 of 2001

6.2.6 Financial Markets Act, No.19 of 2012

- 6.2.7 Financial Sector Regulation Act, No. 9 of 2017
- 6.2.8 Financial Services Board Act, No. 97 of 1990
- 6.2.9 Financial Services Ombud Schemes Act, No. 37 of 2004
- 6.2.10 Companies Act, No. 71 of 2008
- 6.2.11 Compensation for Occupational Injuries and Diseases Act, No. 130 of 1993
- 6.2.12 Constitution of the Republic of South Africa Act, No. 108 of 1996
- 6.2.13 Credit Agreement Act, No. 75 of 1980
- 6.2.14 Deed Registries Act, No. 47 of 1937
- 6.2.15 Employment Equity Act, No. 55 of 1998
- 6.2.16 Identification Act, No. 68 of 199
- 6.2.17 Inspection of Financial Institutions Act, No. 18 of 1998
- 6.2.18 The Labour Relations Act, No. 66 of 1995
- 6.2.19 The Long Term Insurance Act, No. 52 of 1998
- 6.2.20 Pension Funds Act, No. 24 of 1956
- 6.2.21 Short Term Insurance Act, No. 53 of 1998
- 6.2.22 Skills Development Levies Act, No. 9 of 1999
- 6.2.23 Unit Trust Control Act, No. 54 of 1981
- 6.2.24 Electronic Communication and Transactions Act, No. 25 of 2002
- 6.2.25 Patents, Designs and Copyright Merchandise Marks Act, No. 17 of 1941
- 6.2.26 Income Tax Act, No. 58 of 1962
- 6.2.27 Occupational Health and Safety Act No. 85 of 1993
- 6.2.28 Insider Trading Act No. 135 of 1998
- 6.2.29 Prevention of Organised Crime Act No. 121 of 1998
- 6.2.30 Protection of Constitutional Democracy Against Terrorist and Related Activities Act, No. 33 of 2004
- 6.2.31 Proceeds of Crime Act, No. 76 of 1996
- 6.2.32 Stock Exchanges Control Act, No. 54 of 1995
- 6.2.33 National Payment Systems Act, No. 78 of 1998
- 6.2.34 Trademark Act, No. 194 of 1993
- 6.2.35 Intellectual Property Laws Amendment Act, No.38 of 1997

- 6.2.36 Wills Act, No. 7 of 1953
- 6.2.37 Administration of Estates Act, No. 66 of 1965
- 6.2.38 Medical Schemes Act, No. 131 of 1998

7. Registers and records

- 7.1 Inspection of the company registers and records pertaining to:
 - 7.1.1 Directors and officers
 - 7.1.2 Interests of directors
 - 7.1.3 Shareholders
 - 7.1.4 Allotments
 - 7.1.5 Minutes of meetings of members (only shareholders)
 - 7.1.6 Licences and permits
 - 7.1.7 Trademarks and commodity brochures and relevant information
 - 7.1.8 Annual reports
 - 7.1.9 Interim reports

8. Subjects and records held by the Company

- 8.1 Incorporation documents
 - 8.1.1 The Company's registration forms
- 8.2 Secretarial records
 - 8.2.1 The shares register of the Company
 - 8.2.2 Shareholders' agreements of the Company, including pre-emption, option and nominee agreements
 - 8.2.3 Minutes of general meetings of the shareholders of the Company
 - 8.2.4 Register or list of directors of the Company
 - 8.2.5 Minute books of internal resolutions of the Company

- 8.2.6 Power of attorney agreements and a list of persons authorised to bind the Company
- 8.2.7 Statutory registers of the Company
- 8.3 Financial records of the Company
 - 8.3.1 Accounting records, books and documents of the Company
 - 8.3.2 Interim and annual financial reports of the Company
 - 8.3.3 Details of the auditors of the Company
 - 8.3.4 Auditors' reports in respect of audits conducted on the Company
 - 8.3.5 Tax returns of the Company
 - 8.3.6 Other documents and agreements pertaining to tax
 - 8.3.7 Financial records of the Company
- 8.4 Human resources / employment records
 - 8.4.1 List of employees
 - 8.4.2 Employee benefits
 - 8.4.3 Documents in respect of share incentive scheme or trust
 - 8.4.4 Information pertaining to share options, share incentives, bonus or profit sharing agreements of each employee
 - 8.4.5 Arbitration orders and agreements
 - 8.4.6 Attendance registers at working stations and head office
 - 8.4.7 Any other information pertaining to employees of the Company
- 8.5 Pension and provident funds
 - 8.5.1 A manual regarding the processes and rules of the pension fund
 - 8.5.2 Lists of employees who belong to the respective funds
 - 8.5.3 Minutes of meetings of trustees

- 8.5.4 Financial records of the pension and provident funds
- 8.6 Insurance records
 - 8.6.1 Family insurance
 - 8.6.2 Group life insurance
 - 8.6.3 Spouse insurance
 - 8.6.4 Disability insurance
 - 8.6.5 Retirement insurance
- 8.7 Immovable and movable property
 - 8.7.1 Asset register
 - 8.7.2 Title deeds of any land owned by the Company
 - 8.7.3 Agreements for the lease or sale of land and/or other immovable property by the Company
 - 8.7.4 Agreements for the lease of movable property by the Company
 - 8.7.5 Mortgage bonds, liens, notarial bonds or security interests on property
 - 8.7.6 Other agreements for the purchase, ordinary sale, conditional sale, or hire of assets
- 8.8 Client agreements
 - 8.8.1 Agreements for the supply of production and/or trading credit
 - 8.8.2 Security agreements, deeds, guarantees, cession and bonds for credit
 - 8.8.3 Details of clients and correspondence
 - 8.8.4 Invoices, receipts, credit and debit notes
- 8.9 Miscellaneous agreements of the Company
 - 8.9.1 Suretyship agreements
 - 8.9.2 Agreements for the trading activities of the Company

- 8.9.3 Agency, management and distribution agreements
- 8.9.4 Agreements with suppliers of the Company
- 8.9.5 Agreements with clients of the Company
- 8.9.6 Credit facilities and letters of credit
- 8.10 Correspondence
 - 8.10.1 Correspondence with clients of the Company

9. Request process

- 9.1 An individual who wishes to place a request must comply with all the procedures laid down in PAIA. The requester must complete the prescribed form, which is attached hereto as annexure “A”. The prescribed form must be submitted as well as payment of a request fee and a deposit, if applicable to the information officer at the postal or physical address, or electronic mail as is stated herein.
- 9.2 The prescribed form must be completed with enough particularity to enable the information officer to determine:
 - 9.2.1 The record(s) requested;
 - 9.2.2 The identity of the requestor;
 - 9.2.3 What form of access is required; and
 - 9.2.4 The Postal address of the requestor.
- 9.3 The requestor must state that the records are required for the requestor to exercise or protect a right and clearly state what the nature of the right is so to be exercised or protected. An explanation of why the records requested is required to exercise or protect the right.
- 9.4 The request for access will be dealt with within 30 days from date of receipt, unless the requestor has set out special grounds that satisfy the information officer that the request be dealt with sooner.

- 9.5 The period of 30 days may be extended by not more than 30 additional days, if the request is for a large quantity of information, or the request requires a search for information held at another office of the Company and the information cannot be reasonably obtained within 30 days. The information officer will notify the requestor in writing should an extension be necessary.
- 9.6 The requestor will be informed in writing whether access to the records have been granted or denied. If the requestor requires a reason for the decision the request must be expressed in the prescribed form, the requestor must be further stated what particulars of the reasoning the requestor requires.
- 9.7 If a requestor has requested the records on another individual's behalf, the requestor must submit proof of the capacity the requestor submits the request in, to the satisfaction of the information officer.
- 9.8 If the requestor is unable to complete the prescribed form due to illiteracy or disability, the requestor may request it orally from the information officer.

10. Grounds for refusal

- 10.1 The following are grounds upon which the Company may, subject to the exceptions in Chapter 4 of PAIA, refuse a request for access in accordance with Chapter 4 of PAIA:
- 10.1.1 Mandatory protection of the privacy of a third party who is a natural person, including a deceased person, where such disclosure of Personal Information would be unreasonable.
- 10.1.2 Mandatory protection of the commercial information of a third party, if the Records contain:
- 10.1.2.1 Trade secrets of that third party;
- 10.1.2.2 Financial, commercial, scientific or technical information of the third party, the disclosure of which could likely cause harm to the financial or commercial interests of that third party; and/or

- 10.1.2.3 Information disclosed in confidence by a third party to The Company, the disclosure of which could put that third party at a disadvantage in contractual or other negotiations or prejudice the third party in commercial competition;
- 10.1.3 Mandatory protection of confidential information of third parties if it is protected in terms of any agreement;
- 10.1.4 Mandatory protection of the safety of individuals and the protection of property;
- 10.1.5 Mandatory protection of Records that would be regarded as privileged in legal proceedings;
- 10.1.6 Protection of the commercial information of the Company, which may include:
 - 10.1.6.1 Trade secrets;
 - 10.1.6.2 Financial/commercial, scientific or technical information, the disclosure of which could likely cause harm to the financial or commercial interests of the Company;
 - 10.1.6.3 Information which, if disclosed, could put the Company at a disadvantage in contractual or other negotiations or prejudice the Company in commercial competition; and/or
 - 10.1.6.4 Computer programs which are owned by the Company, and which are protected by copyright and intellectual property laws;
- 10.1.7 Research information of the Company or a third party, if such disclosure would place the research or the researcher at a serious disadvantage; and
- 10.1.8 Requests for Records that are clearly frivolous or vexatious, or which involve an unreasonable diversion of resources.

11. Remedies should a request be refused

- 11.1 The Company does not have an internal appeal procedure in light of a denial of a request, decisions made by the information officer is final;
- 11.2 The requestor may in accordance with sections 56(3) (c) and 78 of PAIA, apply to a court for relief within 180 days of notification of the decision for appropriate relief.

12. Fees

- 12.1 The fee for a copy of the manual as contemplated in regulation 9(2)(c) is R1,10 for every photocopy of an A4-size page or part thereof.
- 12.2 The fees for reproduction referred to in regulation 11(1) are as follows:
- 12.2.1 For every photocopy of an A4-sized page or part thereof: R1,10
 - 12.2.2 For every printed copy of an A4-sized page or part thereof held on a computer or in electronic or machine-readable form: R0,75
 - 12.2.3 For a copy in a computer-readable form on:
 - 12.2.3.1 USB Flash Drive R7,50 (on Requestor's own USB Flash Drive)
 - 12.2.3.2 compact disc R70,00
 - 12.2.3.3 For visual images:
 - 12.2.3.3.1 a transcription of visual images, for an A4-size page or part thereof 40,00
 - 12.2.3.3.2 For a copy of visual images R60,00
 - 12.2.3.4 For an audio record:
 - 12.2.3.4.1 For a transcription of an audio record, for an A4-size page or part thereof R20,00
 - 12.2.3.4.2 For a copy on an audio record R30,00
- 12.3 The request fee payable by a requester, other than a personal requester, referred to in regulation 11(2) is R50,00.
- 12.4 The access fees payable by a requester referred to in regulation 11(3) are as follows:
- 12.4.1 Fees are:
 - 12.4.1.1 For every photocopy of an A4-size page or part thereof R1,10
 - 12.4.1.2 For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine-readable form R0,75
 - 12.4.1.3 For a copy in a computer-readable form on:
 - 12.4.1.3.1 USB Flash Drive R7,50 (on Requestor's own USB Flash Drive)
 - 12.4.1.3.2 compact disc R70,00

12.4.1.4 For a transcription of visual images:

12.4.1.4.1 for an A4-sized page or part thereof R40,00

12.4.1.4.2 For a copy of visual images R60,00

12.4.1.5 For a transcription of an audio record:

12.4.1.5.1 For an A4-size page or part thereof R20,00

12.4.1.5.2 For a copy of an audio record R30,00

12.4.1.6 To search for and prepare the record for disclosure, R30,00 for each hour or part of an hour reasonably required for such search and preparation.

12.4.2 For purposes of section 54(2) of the Act, the following applies:

12.4.2.1 Six hours as the hours to be exceeded before a deposit is payable; and

12.4.2.2 one third of the access fee is payable as a deposit by the requester.

12.4.3 The actual postage is payable when a copy of a record must be posted to a requester.

13. POPI

13.1 Conditions for lawful processing

13.1.1 POPI has eight conditions for lawful processing and include:

13.1.1.1 Accountability

13.1.1.2 Processing limitation

13.1.1.3 Purpose specification

13.1.1.4 Further processing limitation

13.1.1.5 Information quality

13.1.1.6 Openness

13.1.1.7 Security safeguards

13.1.1.8 Data subject participation

13.1.2 The Company is involved in the following types of processing:

13.1.2.1 Collection

13.1.2.2 Recording

13.1.2.3 Organization

13.1.2.4 Structuring

13.1.2.5 Storage

13.1.2.6 adaptation or alteration

13.1.2.7 retrieval

13.1.2.8 consultation

13.1.2.9 use

13.1.2.10 disclosure by transmission

13.1.2.11 dissemination or otherwise making available

13.1.2.12 alignment or combination

13.1.2.13 restriction

13.1.2.14 erasure

13.1.2.15 destruction

13.1.3 The Company processes information for the following purposes:

13.1.3.1 to provide services to its Clients in accordance with terms agreed to by the Clients;

13.1.3.2 to undertake activities related to the provision of services, such as

13.1.3.2.1 to fulfil domestic legal, regulatory and compliance requirements

- 13.1.3.2.2 to verify the identity of Customer representatives who contact the Company or may be contacted by The Company;
 - 13.1.3.2.3 for risk assessment, information security management, statistical, trend analysis and planning purposes;
 - 13.1.3.2.4 to monitor and record calls and electronic communications with the Client for quality, training, investigation and fraud prevention purposes;
 - 13.1.3.2.5 to enforce or defend the Company or the Company affiliates' rights;
 - 13.1.3.2.6 to manage the Company's relationship with its clients, which may include providing information to its clients and its clients affiliates about the Company's and the Company affiliates' products and services;
 - 13.1.3.3 the purposes related to any authorised disclosure made in terms of agreement, law or regulation;
 - 13.1.3.4 any additional purposes expressly authorised by The Company's client;
 - 13.1.3.5 any additional purposes as may be notified to the Client or Data Subjects in any notice provided by the Company.
- 13.2 The Company processes personal information the following categories of Data Subjects:
 - 13.2.1 Juristic persons –
 - 13.2.1.1 Corporate clients
 - 13.2.1.2 Suppliers
 - 13.2.2 Natural persons –
 - 13.2.2.1 Individuals
 - 13.2.2.2 Staff
 - 13.2.2.3 Clients
 - 13.2.2.4 Suppliers

- 13.3 The Company process the following categories personal information:
 - 13.3.1 Client profile information;
 - 13.3.2 Bank account details;
 - 13.3.3 Payment information;
 - 13.3.4 Client representatives;
 - 13.3.5 Names;
 - 13.3.6 Email Addresses;
 - 13.3.7 Telephone numbers;
 - 13.3.8 Facsimile numbers;
 - 13.3.9 Physical addresses;
 - 13.3.10 Tax numbers;
 - 13.3.11 Identity Numbers;
 - 13.3.12 Passport Numbers;
- 13.4 Recipients of Personal Information:
 - 13.4.1 The Company, The Company's affiliates, their respective representatives
- 13.5 When making authorised disclosures or transfers of personal information in terms of Section 72 of POPI, personal information may be disclosed to recipients in countries that do not have the same level of protection for personal information as South Africa does.
- 13.6 The following Security measures are implemented by the Company:
- 13.7 The Company implements numerous Security measures to protect personal information that is stored electronically and physically.
 - 13.7.1 The Company ensures that appropriate security measures are taken and updates these measures on a regular basis.

- 13.7.2 The Company have also implemented various policies to provide additional security such as the Confidentiality Policy, Information Security Breach Policy, Minimum Access Policy, Password Construction Policy, Password Protection Policy, Server Security Policy, Data Protection Policy, Anti-virus Guidelines and Email Retention Policy.
- 13.7.3 The personal information that is stored physically is protected as follows:
- 13.7.3.1 Where physical records of the data exist, such records will be stored in a secure area that can be 'locked-away' as to avoid a breach of the personal information.
 - 13.7.3.2 Such physical data records will be 'locked-away' and secured when not in use.
 - 13.7.3.3 The Company have also implemented various policies to additional security such as the Confidentiality Policy, Data Protection Policy, Acceptable Use Policy, and a Clean Desk Policy.
- 13.8 The Company may share personal information with third parties and in certain instances this may result in cross border flow of the personal information. The personal information will always be subject to protection, not less than the protection it is afforded under the Protection of Personal Information Act No.4 of 2013.
- 13.9 Objection to the processing of personal information by a data subject:
- 13.9.1 Section 11(3) of POPI and regulation 2 of the POPI regulations provides that a data subject may, at any time object to the processing of their personal information in the prescribed form attached to this manual as annexure "B".
- 13.10 Request for correction or deletion of personal information:
- 13.10.1 Section 24 of POPI and regulation 3 of the POPI regulations provides that a data subject may request for their personal information to be corrected and/or deleted in the prescribed form attached hereto as annexure "C".

Annexure A

FORM 2 REQUEST FOR ACCESS TO RECORD [Regulation 7]

NOTE:

1. Proof of identity must be attached by the requester.
2. If requests made on behalf of another person, proof of such authorisation, must be attached to this form.

TO: The Information Officer

(Address)

E-mail address:

Fax number:

Mark with an "X"

☐ Request is made in my own name ☐ Request is made on behalf of another person.

PERSONAL INFORMATION			
Full Names			
Identity Number			
Capacity in which request is made (when made on behalf of another person)			
Postal Address			
Street Address			
E-mail Address			
Contact Numbers	Tel. (B):		Facsimile:
	Cellular:		
Full names of person on whose behalf request is made (if applicable):			
Identity Number			
Postal Address			

Street Address			
E-mail Address			
Contact Numbers	Tel. (B)		Facsimile
	Cellular		
PARTICULARS OF RECORD REQUESTED <i>Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located. (If the provided space is inadequate, please continue on a separate page and attach it to this form. All additional pages must be signed.)</i>			
Description of record or relevant part of the record:			
Reference number, if available			
Any further particulars of record			
TYPE OF RECORD (Mark the applicable box with an "X")			
Record is in written or printed form			
Record comprises virtual images (this includes photographs, slides, video recordings, computer-generated images, sketches, etc)			
Record consists of recorded words or information which can be reproduced in sound			
Record is held on a computer or in an electronic, or machine-readable form			

FORM OF ACCESS (Mark the applicable box with an "X")	
Printed copy of record (including copies of any virtual images, transcriptions and information held on computer or in an electronic or machine-readable form)	
Written or printed transcription of virtual images (this includes photographs, slides, video recordings, computer-generated images, sketches, etc)	
Transcription of soundtrack (written or printed document)	
Copy of record on flash drive (including virtual images and soundtracks)	
Copy of record on compact disc drive (including virtual images and soundtracks)	
Copy of record saved on cloud storage server	

MANNER OF ACCESS (Mark the applicable box with an "X")	
Personal inspection of record at registered address of public/private body (including listening to recorded words, information which can be reproduced in sound, or information held on computer or in an electronic or machine-readable form)	
Postal services to postal address	
Postal services to street address	
Courier service to street address	
Facsimile of information in written or printed format (including transcriptions)	
E-mail of information (including soundtracks if possible)	
Cloud share/file transfer	
Preferred language (Note that if the record is not available in the language you prefer, access may be granted in the language in which the record is available)	

PARTICULARS OF RIGHT TO BE EXERCISED OR PROTECTED <i>If the provided space is inadequate, please continue on a separate page and attach it to this Form. The requester must sign all the additional pages.</i>	
Indicate which right is to be exercised or protected	

Explain why the record requested is required for the exercise or protection of the aforementioned right:	

FEES	
a)	A request fee must be paid before the request will be considered.
b)	You will be notified of the amount of the access fee to be paid.
c)	The fee payable for access to a record depends on the form in which access is required and the reasonable time required to search for and prepare a record.
d)	If you qualify for exemption of the payment of any fee, please state the reason for exemption
Reason	

You will be notified in writing whether your request has been approved or denied and if approved the costs relating to your request, if any. Please indicate your preferred manner of correspondence:

Postal address	Facsimile	Electronic communication (Please specify)

Signed at _____ this _____ day of _____ 20 _____

Signature of Requester / person on whose behalf request is made

FOR OFFICIAL USE

Reference number:	
Request received by: (State Rank, Name And Surname of Information Officer)	
Date received:	
Access fees:	
Deposit (if any):	

Signature of Information Officer

Annexure B

12 No. 42110

GOVERNMENT GAZETTE, 14 DECEMBER 2018

FORM 1
OBJECTION TO THE PROCESSING OF PERSONAL INFORMATION IN TERMS OF
SECTION 11(3) OF THE PROTECTION OF PERSONAL INFORMATION ACT, 2013 (ACT NO.
4 OF 2013)

REGULATIONS RELATING TO THE PROTECTION OF PERSONAL INFORMATION, 2018
[Regulation 2]

Note:

1. Affidavits or other documentary evidence as applicable in support of the objection may be attached.
2. If the space provided for in this Form is inadequate, submit information as an Annexure to this Form and sign each page.
3. Complete as is applicable.

A	DETAILS OF DATA SUBJECT
Name(s) and surname/ registered name of data subject:	
Unique Identifier/ Identity Number	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number / E-mail address:	
B	DETAILS OF RESPONSIBLE PARTY
Name(s) and surname/ Registered name of responsible party:	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number/ E-mail address:	
C	REASONS FOR OBJECTION IN TERMS OF SECTION 11(1)(d) to (f) (Please provide detailed reasons for the objection)

Signed at this day of20.....

.....
Signature of data subject/designated person

Annexure C

14 No. 42110

GOVERNMENT GAZETTE, 14 DECEMBER 2018

FORM 2

**REQUEST FOR CORRECTION OR DELETION OF PERSONAL INFORMATION OR
DESTROYING OR DELETION OF RECORD OF PERSONAL INFORMATION IN TERMS OF
SECTION 24(1) OF THE PROTECTION OF PERSONAL INFORMATION ACT, 2013 (ACT NO.
4 OF 2013)**

REGULATIONS RELATING TO THE PROTECTION OF PERSONAL INFORMATION, 2018
[Regulation 3]

Note:

1. Affidavits or other documentary evidence as applicable in support of the request may be attached.
2. If the space provided for in this Form is inadequate, submit information as an Annexure to this Form and sign each page.
3. Complete as is applicable.

Mark the appropriate box with an "x".

Request for:

- ☐ Correction or deletion of the personal information about the data subject which is in possession or under the control of the responsible party.
- ☐ Destroying or deletion of a record of personal information about the data subject which is in possession or under the control of the responsible party and who is no longer authorised to retain the record of information.

A	DETAILS OF THE DATA SUBJECT
Name(s) and surname / registered name of data subject:	
Unique identifier/ Identity Number:	
Residential, postal or business address:	
	Code ()
Contact number(s):	
Fax number/E-mail address:	
B	DETAILS OF RESPONSIBLE PARTY
Name(s) and surname / registered name of responsible party:	
Residential, postal or business address:	
	Code ()
Contact number(s):	

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Fax number/ E-mail address:	
C	INFORMATION TO BE CORRECTED/DELETED/ DESTROYED/ DESTROYED
D	REASONS FOR *CORRECTION OR DELETION OF THE PERSONAL INFORMATION ABOUT THE DATA SUBJECT IN TERMS OF SECTION 24(1)(a) WHICH IS IN POSSESSION OR UNDER THE CONTROL OF THE RESPONSIBLE PARTY ; and or REASONS FOR *DESTRUCTION OR DELETION OF A RECORD OF PERSONAL INFORMATION ABOUT THE DATA SUBJECT IN TERMS OF SECTION 24(1)(b) WHICH THE RESPONSIBLE PARTY IS NO LONGER AUTHORISED TO RETAIN. <i>(Please provide detailed reasons for the request)</i>

Signed at this day of20.....

.....
Signature of data subject/ designated person

Date of change	Responsible	Summary of change
June 2021	GTC Compliance	Version 1
September 2025	GTC Compliance	Version 2

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